

GAU 1815

Dkt. 0575/50659/JPW/JML



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
SEP 23 1997  
GROUP 1800

Applicants : David Baltimore et al.  
Serial No. : 08/813,323  
Filed : March 10, 1997 Art Unit: 1815 #6  
For : TRUNCATED CRAF1 INHIBITS CD40 SIGNALING

1185 Avenue of the Americas  
New York, New York 10036  
September 16, 1997

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants would like to direct the Examiner's attention to the following, which are listed on Form PTO-1449 (**Exhibit 1**). Copies of the disclosures listed below as items 1-4 are attached hereto as **Exhibits 2-5**, respectively.

1. Goeddel, David V. et al., Tumor Necrosis Factor Receptor-Associated Factors, International Publication No. WO 95/33051 (**Exhibit 2**);
2. Cheng, Genhong et al. (1995) Involvement of CRAF1, a Relative of TRAF, in CD40 Signaling, *Science* 267: 1494-1498 (**Exhibit 3**);
3. Hu, Hong Ming et al. (1994) A Novel RING Finger Protein Interacts with the Cytoplasmic Domain of CD40, *J. Bio. Chem.* 269: 30069-30072 (**Exhibit 4**);

David Baltimore et al.  
U.S. Serial No.: 08/813,323  
Filed: March 10, 1997  
Page 2

Interacts with the Cytoplasmic Domain of CD40, *J. Bio. Chem.*  
269: 30069-30072 (Exhibit 4);

4. Mosialos, George et al. (1995) The Epstein-Barr Virus Transforming Protein LMP1 Engages Signaling Proteins for the Tumor Necrosis Factor Receptor Family, *Cell* 80:389-399 (Exhibit 5);

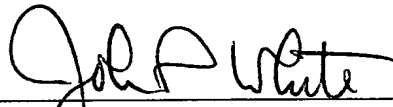
Applicants maintain that none of those above-listed references citable as a reference against the subject application disclose or suggest the invention now being claimed.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

David Baltimore et al.  
U.S. Serial No.: 08/813,323  
Filed: March 10, 1997  
Page 3

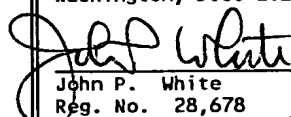
No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. If any such fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,



John P. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

 9/16/97  
John P. White Date  
Reg. No. 28,678